



MONTCLAIR STATE
UNIVERSITY

Montclair State University
**Montclair State University Digital
Commons**

Montclair State University

Department of Psychology Faculty Scholarship
and Creative Works

Department of Psychology

3-2-2018

Effects of Vortioxetine On Biomarkers Associated with Glutamatergic Activity in An SSRI Insensitive Model of Depression in Female Rats

N. Hlavacova
Slovak Academy of Sciences

Y. Li
H. Lundbeck A/S

Alan Pehrson
Montclair State University, pehrsona@mail.montclair.edu

Connie Sanchez
Alkermes Inc

Isabel Bermudez-Diaz
Oxford Brookes University

Follow this and additional works at: <https://digitalcommons.montclair.edu/psychology-facpubs>
See next page for additional authors



Part of the [Psychology Commons](#)

MSU Digital Commons Citation

Hlavacova, N.; Li, Y.; Pehrson, Alan; Sanchez, Connie; Bermudez-Diaz, Isabel; Csanova, Agnesa; Jezova, Daniela; and Franklin, Michael, "Effects of Vortioxetine On Biomarkers Associated with Glutamatergic Activity in An SSRI Insensitive Model of Depression in Female Rats" (2018). *Department of Psychology Faculty Scholarship and Creative Works*. 202.

<https://digitalcommons.montclair.edu/psychology-facpubs/202>

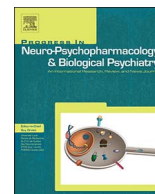
This Article is brought to you for free and open access by the Department of Psychology at Montclair State University Digital Commons. It has been accepted for inclusion in Department of Psychology Faculty Scholarship and Creative Works by an authorized administrator of Montclair State University Digital Commons. For more information, please contact digitalcommons@montclair.edu.

Authors

N. Hlavacova, Y. Li, Alan Pehrson, Connie Sanchez, Isabel Bermudez-Diaz, Agnesa Csanova, Daniela Jezova, and Michael Franklin

Contents lists available at [ScienceDirect](http://www.elsevier.com/locate/locate/pnp)

Progress in Neuropsychopharmacology & Biological Psychiatry

journal homepage: www.elsevier.com/locate/pnp

Effects of vortioxetine on biomarkers associated with glutamatergic activity in an SSRI insensitive model of depression in female rats



N. Hlavacova^a, Y. Li^b, A. Pehrson^c, C. Sanchez^b, I. Bermudez^d, A. Csanova^a, D. Jezova^a,
M. Franklin^{d,*}

^a Institute of Experimental Endocrinology, Biomedical Research Center, Slovak Academy of Sciences, Bratislava, Slovakia

^b Department of Neuroscience, Lundbeck Research USA, Inc., Paramus, NJ, USA

^c External Sourcing and Scientific Excellence, Lundbeck Research USA, Inc., Paramus, NJ, USA

^d School of Life Sciences, Oxford Brookes University, Oxford, UK

ARTICLE INFO

Keywords:

Tryptophan
Vortioxetine
Female rat model of depression
Glutamatergic system
Corticosterone
IL-6

ABSTRACT

The aim of this study was to investigate the antidepressant activity of vortioxetine in a tryptophan (TRP) depletion female rat model of depression and compare it to that of paroxetine using doses that fully occupy the serotonin transporter (SERT). We evaluated the effects of vortioxetine on potential biomarkers associated with TRP depletion including serum aldosterone, corticosterone and IL-6 levels together with indirect indicators of glutamate neurotransmission. Female Sprague-Dawley rats were randomized to control, low TRP, low TRP/paroxetine or low TRP/vortioxetine groups. Vortioxetine and paroxetine were administered via diet (10 mg/kg/day) and drinking water (10 mg/kg/day) respectively for 14 days. Vortioxetine but not paroxetine reversed TRP depletion-induced depressive-like behavior. Vortioxetine reduced TRP depletion-induced increases of serum corticosterone, aldosterone, IL-6 and *N*-methyl-D-aspartate and α 7-nicotinic acetylcholine receptor expression in the amygdala and hippocampus, respectively. Paroxetine demonstrated little effect except a reduction of aldosterone. Vortioxetine but not paroxetine reversed TRP depletion-induced reductions of serum and brain kynurenic acid. In conclusion, vortioxetine, but not paroxetine, enabled reversals of TRP depletion-induced changes of depression-like behavior and markers of glutamatergic activity. These observations support the hypothesis that vortioxetine's antidepressant activity may involve mechanisms beyond SERT inhibition.

1. Introduction

Major depressive disorder occurs two-threefold more frequently in women than men and non-responsiveness to treatment presents a huge problem for its management. Clinical data suggests that 50% of depressed patients respond to initial treatment with serotonin (5-HT) specific reuptake inhibitors (SSRIs) and only around 30% achieve remission (Gaynes, 2009). Thus, new treatments with novel modes of action are required. To this point, animal models of depression that address SSRI insensitivity would be of interest to study new compounds. The development of these models is in itself problematic because the effectiveness of antidepressants constitutes an important component of the model predictive validation process. We have validated a model of depression based on diet-induced tryptophan (TRP) depletion in male rats (Franklin et al., 2012a) and thereafter developed a similar model in female rats (Franklin et al., 2015). Diet-induced TRP depletion changes in female rats were generally larger and occurred earlier than in the males. Numerous defining time-course studies in these models

demonstrated that 14 days of TRP depletion produced optimal effects on both biochemical and behavioral indices. Beyond this time point the model fails to demonstrate good construct validity due largely to the occurrence of adaptive changes in animals following extended TRP depletion (Franklin et al., 1996).

Diet-induced TRP depletion in female rats resulted in a significant reduction of brain 5-HT and induction of depression-like behavior (Franklin et al., 2015). The depression-like state was associated with an increase in the stress hormone aldosterone, which has a potential relationship to depression (Hlavacova et al., 2012; Häfner et al., 2013; Buttner et al., 2015). In the female rat model of depression (Franklin et al., 2015), aldosterone secretion increased after just 4 days of TRP depletion, prior to the rise in serum corticosterone. TRP depletion led to cortical 5-HT_{2A} receptor upregulation and paroxetine treatment normalized this effect. However, the TRP depletion-induced behavioral changes were not reversed by paroxetine thus suggesting a non-5-HT mediated resistance (Franklin et al., 2015). Changes in the kynurenic acid (K)/kynurenic acid (KA) ratio, magnesium and *N*-methyl-D-aspartate

* Corresponding author at: School of Life Sciences, Oxford Brookes University, Oxford OX3 0BP, UK.
E-mail address: mfranklin@brookes.ac.uk (M. Franklin).

<http://dx.doi.org/10.1016/j.pnpbp.2017.07.008>

Received 21 March 2017; Received in revised form 9 July 2017; Accepted 9 July 2017

Available online 19 December 2017

0278-5846/ © 2017 Elsevier Inc. All rights reserved.

(NMDA) receptor expression were not reversed by paroxetine treatment and therefore the K pathway and glutamate neurotransmission might be involved in the mechanisms of the development of non-responsiveness to SSRIs in this model (Franklin et al., 2015). It should be noted that similar effects in male rats were reversed by paroxetine treatment (Franklin et al. 2012a).

The involvement of the glutamate system in mood disorders was first proposed based on preclinical studies of NMDA receptor antagonists (Skolnick et al., 1996). In addition, early clinical studies showed altered glutamate levels in serum and cerebrospinal fluid from patients with mood disorders (Kim et al., 1982; Sanacora et al., 2004). There is also growing evidence that inflammation is associated with dysregulation of the kynurenine pathway in suicide patients which may be the result of an imbalance of neuroactive metabolites such as quinolinic acid and KA found in these patients (Bryleva and Brundin, 2017).

Pro-inflammatory cytokines, such as interleukin-6 (IL-6), were described as predictive biomarkers of treatment resistant depression (Yang et al., 2015) and depressed patients often demonstrate increased levels of pro-inflammatory cytokines (Howren et al., 2009). In the TRP/K pathway, K is the product of the oxidative opening of the TRP indole ring by 2,3-dioxygenase (IDO) and its hepatic component tryptophan 2,3-dioxygenase (TDO) (Schwarcz and Pellicciari, 2002; Dantzer and Walker, 2014). There is a degree of acceptance that the K/TRP ratio may be a proxy measure of in vivo IDO activity (Christmas et al., 2011). Hence, increases in the ratio are reflective of enzyme induction and a decrease is indicative of inhibition.

Vortioxetine is a new antidepressant that inhibits the 5-HT transporter (SERT) and is a 5-HT_{1A} receptor agonist, 5-HT_{1B} receptor partial agonist and a 5-HT_{1D}, 5-HT₃ and 5-HT₇ receptor antagonist and demonstrates cognition enhancing properties (Mork et al., 2013; Sanchez et al., 2015). The aim of the present studies was to investigate the antidepressant activity of vortioxetine in female rats depleted of TRP and compare it to that of paroxetine using doses that fully occupy the serotonin transporter (SERT) (Li et al., 2013; Leiser et al., 2015). Moreover, we evaluated the effects of vortioxetine on potential biomarkers associated with TRP depletion including serum aldosterone, corticosterone and IL-6 levels, and indirect indicators of abnormal glutamate neurotransmission, e.g. serum and brain levels of KA, an antagonist at both NMDA and α 7-nicotinic acetylcholine receptors (α 7-nAChR).

2. Methods

2.1. Animals

Forty-eight female Sprague-Dawley rats aged 10 weeks (200–225 g) on arrival were used in this study (VELAZ s.r.o., Brno, Czech Republic). Animals were acclimatized to housing facilities for 8 days prior to testing. They were kept in a temperature controlled room (22 °C \pm 2 °C) under a 12:12 h light/dark cycle (lights on at 06:00 a.m.). Animals were grouped 2 per cage with free access to food and water and were weighed daily. All animal procedures were performed in the morning and were approved by the Animal Health and Animal Welfare Division of State Veterinary and Food Administration of the Slovak Republic and conformed to the NIH Guidelines for Care and Use of Laboratory Animals as for previous studies with vortioxetine.

2.2. Model of sub-chronic TRP depletion

Rats were fed a low TRP (0.04%) containing diet or a control diet (0.2% TRP) (Scientific Diet Supplies Ltd., Witham, Essex, UK) for 14 days (Franklin et al. 2015). The experimental diets were in powder form rather than the more standard pellet form. We have used this diet form in all of our previous studies. Therefore, for habituation purposes all animals were put on the control diet for at least 5 days prior to commencement of experimental procedures. Food intake was measured

daily for the individual cages and the average mean intake/rat was calculated. The body weights were measured weekly.

2.3. Drugs

For 5 days before drug treatments began, we monitored daily food and water consumption and determined that rats consumed, on average, 22 g of food and 30 ml of water per day. Based on this estimation, paroxetine hydrochloride (Sigma-Aldrich, St Louis, MO, USA) was dissolved in drinking water at a concentration of 1 mg/10 ml to approach the target dose of 10 mg/kg/day (Franklin et al., 2012a, 2015). The paroxetine solutions were renewed every 2 days. Vortioxetine hydrobromide was supplied by Lundbeck Research USA (Paramus, NJ, USA). Vortioxetine was mixed into the low TRP diet at a concentration of 0.76 g of vortioxetine hydrobromide (corresponding to 0.6 of vortioxetine base per 1 kg of powdered low TRP diet to approach the target dose of 10 mg/kg/day. This dose was shown to fully occupy SERT (Li et al., 2013).

2.4. Study design

Animals were fed a control or low TRP diet and simultaneously treated with vortioxetine or paroxetine for 14 days. According to diet and treatment, animals were randomly assigned into the following groups ($n = 12$) and fed: 1) control diet, 2) low TRP diet, 3) low TRP diet plus paroxetine or 4) low TRP diet plus vortioxetine. Effects on procedures carried out in TRP depleted female rats may be influenced by the time of their estrous cycle (Jans et al., 2007). Pro-estrus/estrus events tend to be dictated by lighting times, but under normal lighting schedules (as in the present study) tend to occur during the late afternoon to early hours of the morning (Witcher and Freeman, 1985). Hence, all animal procedures were performed in the morning (usually 08.00–11.00 h) to reduce estrous cycle effects. Serum estradiol concentrations were measured to take account of possible differences in the estrous cycle.

On treatment days 13 and 14 rats were tested in the forced swim test (FST), as described previously (Franklin et al., 2015). After the first 15-min session (pre-test, day 13) rats were removed from water, dried with towels and returned to their home cages. Twenty-four hours later rats were subjected to the 5-min test session (test day 14), dried with towels and returned to their home cages. Both swimming sessions were conducted during the light phase i.e. between 09:30 and 10:30 a.m., and were videotaped by a camera positioned in front of the water tanks. To avoid any possible effects of the FST on stress hormone measures, animals were sacrificed 24 h after the FST. The experimenters were blind with respect to the treatment conditions of the animals tested.

Measures of brain SERT occupancy for both paroxetine and vortioxetine treatments were included in the study design since it is a more direct measure of dose equivalence between drugs than serum concentrations, which is the measure we had used in our previous studies (Franklin et al., 2012a, 2015).

2.5. Blood and organ collection

Following the end of procedures rats were quickly moved to an adjacent room and immediately killed by decapitation. The 2 rats from the same cage were killed within 30 s. Trunk blood was collected into polyethylene tubes without anticoagulant. The clotted blood was spun at 3000 rpm for 15 min at 4 °C and the serum was separated. The brain was quickly removed from the skull. The prefrontal cortex, hippocampus and amygdala were dissected out on an ice-cold plate and frozen in liquid nitrogen. Tissues were weighed and placed into plastic cryo-tubes. Serum aliquots and brain tissue samples were placed in storage at -25 °C and -80 °C respectively until required for analysis.

2.6. Biochemical measures

Serum and prefrontal cortex samples for TRP determination were initially deproteinised with 8% trichloroacetic acid and then measured by high performance liquid chromatography (HPLC) with fluorescence (excitation and emission wavelengths at 285 and 335 nm respectively). Both inter- and intra-assay coefficients of variation (CV) were < 8% (Franklin and Odontiadis, 2003). Prefrontal cortex 5-HT levels were measured by HPLC with amperometric detection following homogenization of brain tissue and deproteinization via filtration (molecular weight cut-off 8000 Da) (Chi et al., 1999). Serum large neutral amino acids (LNAAs) were measured by gradient HPLC via an adaptation of a method described by Fekkes et al. (1995), which utilized fluorescence end-point detection. Samples were initially deproteinised by filtration similar to that described for 5-HT. The intra- and inter-assay CVs were maximally 4.7% and 8.9%, respectively, across the range of amino acids measured. Serum K and KA, as well as KA in the prefrontal cortex were measured by HPLC with UV (wavelength 200 nm) and fluorescence (excitation and emission wavelengths were 365 and 480 nm, respectively) end-point detection after deproteinization by filtration (as for 5-HT).

Serum corticosterone was measured by double-antibody radioimmunoassay (MP Biomedicals, Orangeburg, USA). Normal range was 20–70 ng/ml serum. Both inter- and intra-assay CVs were < 5%. Serum aldosterone was analyzed by a coated-tube radioimmunoassay (Riazenco, Angleur, Belgium). The intra- and inter-assay CVs were 3.8% and 6.2%, respectively and the detection limit was 1.4 pg/ml. Serum estradiol was measured by an in-house highly specific double antibody radioimmunoassay which utilized ^{125}I -estradiol. The limit of detection was 2.3 pg/ml when 50 μl of serum was dispensed and the CVs for both intra- and inter-assay were < 5%, respectively.

SERT occupancy was determined by ex vivo brain slice autoradiography using [^3H]-escitalopram (Jensen et al., 2014). ^3H -MK-801, NMDA receptor binding parameters (β_{max} and K_{d} (affinity) were measured by an adaptation of a method previously described (Franklin et al., 2012a). $\alpha 7$ -nAChR content in the hippocampus was measured by an enzyme-linked immunosorbent assay kit (Cloud-Clone Corp., Houston, Texas, USA). The assay sensitivity was 0.13 ng/ml and the intra- and inter-assay CVs were 10 and 12%, respectively.

2.7. Statistics

Data were checked for normality of distribution by the Shapiro-Wilk test. Non-normally distributed data were subjected to natural log (Ln) transformation prior to statistical analyses. Data were analyzed by one-way Analysis of Variance (ANOVA) and followed by the Tukey post hoc test when appropriate. Results are expressed as untransformed mean \pm SEM values. Overall level of significance was defined as $p < 0.05$.

3. Results

A significant main effect was observed for serum total TRP ($F = 52.4$; $df = 3,36$; $p < 0.001$), serum free TRP ($F = 33$; $df = 3,36$; $p < 0.001$), serum total TRP/LNAA ratio ($F = 32.7$; $df = 3,36$; $p < 0.001$), prefrontal cortex TRP ($F = 65.9$; $df = 3,36$; $p < 0.001$) and 5-HT ($F = 40.9$; $df = 3,36$; $p < 0.001$) respectively. Post hoc analysis showed that these parameters were significantly reduced in all groups of TRP-depleted animals without effect of drug treatment (Table 1).

One-way ANOVA showed a significant difference between groups in serum corticosterone ($F = 5.7$; $df = 3,38$; $p = 0.002$) and aldosterone ($F = 3.6$; $df = 3,37$; $p = 0.02$) concentrations. Tukey post hoc comparisons showed that serum corticosterone concentrations were significantly increased in TRP-depleted rats compared to controls ($p = 0.01$). Vortioxetine ($p = 0.05$) but not paroxetine significantly

reduced the TRP depletion-induced rise in corticosterone (Fig. 1A). Serum aldosterone concentrations were significantly increased in TRP depleted rats compared to controls. Both vortioxetine ($p = 0.01$) and paroxetine ($p = 0.03$) significantly decreased the rise in aldosterone concentrations induced by TRP depletion (Fig. 1B). No significant changes were observed in serum estradiol concentrations ($F = 0.96$; $df = 3,37$; $p = 0.42$).

Serum K was similar across groups (Fig. 2A). Statistical analysis of serum KA data showed a significant main effect of group ($F = 7.2$; $df = 3,38$; $p < 0.001$). TRP depletion resulted in decreased KA concentrations compared to controls ($p < 0.001$). Vortioxetine significantly ($p = 0.03$) increased TRP depletion-induced KA reductions (Fig. 2B) whereas paroxetine showed no effect. The K/TRP ratio (Fig. 2C) showed a significant effect of group ($F = 12.9$; $df = 3,37$; $p < 0.0001$). TRP depletion resulted in a significantly enhanced K/TRP ratio ($p < 0.01$). Neither vortioxetine nor paroxetine affected the TRP depletion-induced increase of the K/TRP ratio to a statistically significant degree. The K/KA ratio showed a significant effect of group ($F = 3.0$; $df = 3,38$; $p = 0.04$). Post hoc comparisons showed that TRP depletion ($p = 0.03$) and TRP depletion plus paroxetine groups ($p = 0.007$) showed a significant increase in the K/KA ratio compared to controls, whereas those from the TRP depletion plus vortioxetine group were similar to controls (Fig. 2D). One-way ANOVA showed a significant difference between groups (Fig. 2E) in prefrontal cortex KA concentrations ($F = 10.2$; $df = 3,36$; $p = 0.0001$). Prefrontal cortex KA concentrations were significantly decreased both in the low TRP ($p < 0.0001$) and low TRP + paroxetine ($p < 0.001$) groups compared to control. Vortioxetine reversed the TRP depletion-induced reduction of KA ($p = 0.02$ vs. TRP depleted group).

The serum concentrations of the inflammatory cytokine, IL-6, were significantly different between groups ($F = 21.8$; $df = 3,37$; $p = 0.005$). Vortioxetine ($p = 0.01$) significantly reduced the rise of IL-6 levels induced by TRP depletion ($p < 0.001$) whereas paroxetine did not (Fig. 2F).

Analysis of NMDA receptor [3H-MK-801] binding B_{max} data in the amygdala (Fig. 3A) showed significant differences ($F = 12.6$; $df = 3,37$; $p < 0.01$). Treatment with vortioxetine significantly reduced the TRP depletion-induced increase of NMDA receptor B_{max} ($p = 0.02$) whilst paroxetine showed no effect. $\alpha 7$ -nAChR content in the hippocampus demonstrated a significant effect ($F = 7.1$; $df = 3,28$; $p < 0.001$) of group (Fig. 3B). Vortioxetine significantly lowered the TRP depletion-induced increase in $\alpha 7$ -nAChR content ($p = 0.01$) whereas paroxetine showed no effect.

Statistical analysis of data from the FST showed a significant effect of group on immobility time ($F = 5.2$; $df = 3,36$; $p = 0.004$). TRP-depleted rats spent significantly longer time immobile compared to controls ($p = 0.04$). Paroxetine reduced immobility to control levels although this was not significant and may be due to a greater variance in this data group. Vortioxetine ($p = 0.004$) significantly reversed these changes (Fig. 4A). The latency to immobility was numerically increased by vortioxetine treatment (Fig. 4B), however the difference was not statistically significant ($F = 2.6$; $df = 3,35$; $p = 0.07$). There were no differences in climbing and swimming across the groups (data not shown).

SERT was fully occupied (> 90%) following both paroxetine and vortioxetine treatments (Table 1). Daily food intake was similar across the groups (control = 23.04 ± 0.86 , low-TRP = 22.45 ± 0.42 , low TRP + parox = 21.42 ± 0.84 and low TRP + vortiox = 22.69 ± 0.42 g/rat/day). There were no differences in daily water intake across the groups (control = 26.74 ± 0.73 , low-TRP = 29.16 ± 1.17 , low TRP + parox = 30.3 ± 0.136 and low TRP + vortiox = 27.75 ± 1.42 ml/rat/day). Body weight gain did not differ among the groups (control = 32 ± 1.81 , low-TRP = 32.71 ± 2.79 , low TRP + parox = 26.83 ± 1.87 and low TRP + vortiox = 30.41 ± 3.53 g).

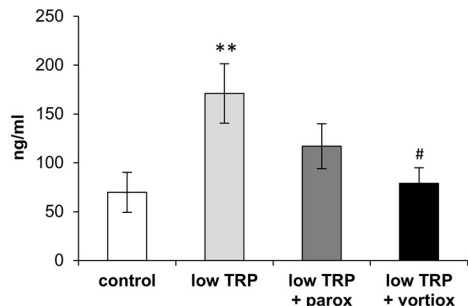
Table 1

Effect of vortioxetine and paroxetine on serum total TRP, free TRP, LNAA, TRP/LNAA ratio, brain pre-frontal cortex TRP, brain pre-frontal cortex 5-HT and % SERT occupancy in TRP depleted female rats versus control. Results are expressed as means ± SEM (n = 10–12).

	Control	Low TRP	Low TRP + parox	Low TRP + vortiox
Serum total TRP (µg/ml)	26.2 ± 2.9	13.3 ± 3.2***	12.5 ± 3.1***	15.7 ± 3.7***
Serum free TRP (µg/ml)	3.7 ± 0.5	2.1 ± 0.5***	2.1 ± 0.5***	1.8 ± 0.4***
Serum LNAA (nmol/ml)	378 ± 38	362 ± 43	364 ± 32	376 ± 63
Serum TRP/LNAA ratio	0.035 ± 0.005	0.018 ± 0.005***	0.016 ± 0.005***	0.016 ± 0.006***
Prefrontal cortex TRP (µg/wet wt)	4.9 ± 0.6	2.3 ± 0.3***	2.2 ± 0.5***	2.5 ± 0.4***
Prefrontal cortex 5-HT (µg/wet wt)	0.8 ± 0.09	0.47 ± 0.14***	0.41 ± 0.07***	0.45 ± 0.08***
% SERT occupancy	2.7 ± 2.9	1.4 ± 2.0	90.0 ± 1.1***	95.1 ± 0.8***

Statistics: ***p < 0.001, **p < 0.01 versus control, *p < 0.05 versus control.

(A) Serum corticosterone



(B) Serum aldosterone

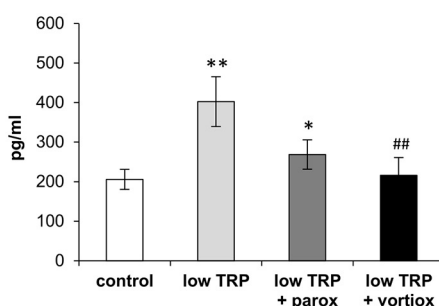
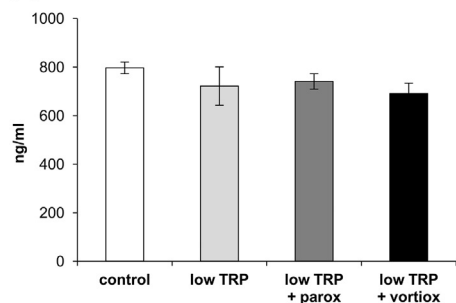


Fig. 1. Effect of vortioxetine and paroxetine on serum corticosterone (A) and aldosterone (B) in TRP depleted female rats versus control. Results are expressed as means ± SEM (n = 10–12). Statistics: **p < 0.01 versus control, *p < 0.05 versus low TRP, ##p < 0.01 versus low TRP.

(A) Serum kynurenine



(B) Serum kynurenic acid

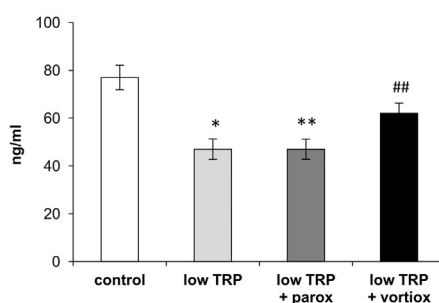
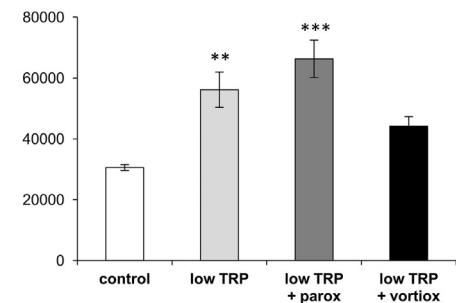
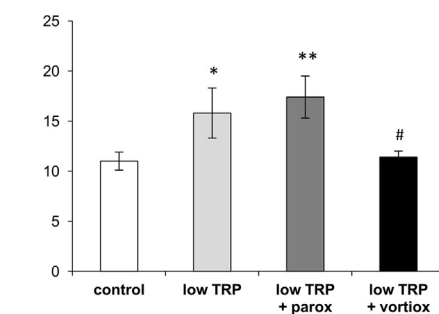


Fig. 2. Effect of vortioxetine and paroxetine on serum K (A), serum KA (B), serum K/TRP ratio (C), serum K/KA ratio (D), prefrontal cortex KA (E) and serum IL-6 (F) in TRP depleted female rats versus control. Results are expressed as means ± SEM (n = 10–12). Statistics: **p < 0.01, *p < 0.05 versus control, ##p < 0.01, #p < 0.05 versus low TRP.

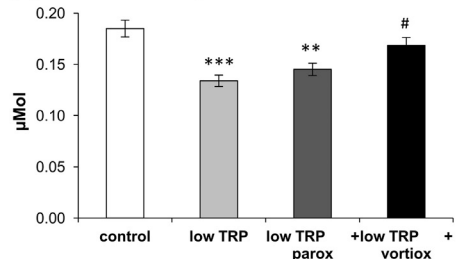
(C) Kynurenine/TRP ratio



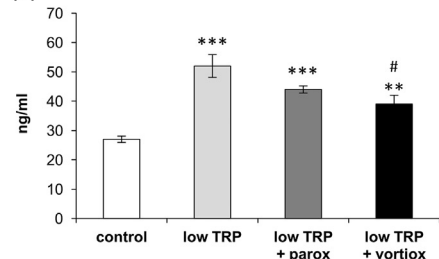
(D) Kynurenine/kynurenic acid ratio



(E) Prefrontal cortex kynurenic acid



(F) Serum IL-6



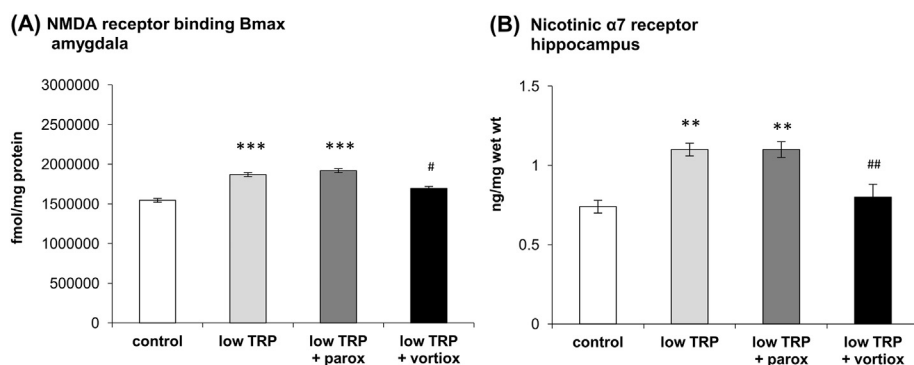


Fig. 3. Effect of vortioxetine and paroxetine on NMDA receptor B_{max} in the amygdala (A) and α₇-nAChR content in the hippocampus (B) in TRP depleted female rats versus control. Results are expressed as means ± SEM (n = 10–12). Statistics: ***p < 0.001 versus control, **p < 0.01 versus low TRP, ##p < 0.01, #p < 0.05 versus low TRP.

4. Discussion

In the present study vortioxetine demonstrated antidepressant-like effects in a female rat TRP depletion model of depression. Vortioxetine generally reversed biochemical changes induced by TRP depletion, whereas paroxetine did not.

The SERT occupancy was > 90% for both vortioxetine and paroxetine. This confirms a very adequate take up of both treatments through their various intake routes (Li et al., 2013; Leiser et al., 2015). At this level of SERT occupancy vortioxetine is predicted to fully occupy 5-HT₃ and 5-HT_{1B} receptors and to occupy 5-HT₇ and 5-HT_{1A} receptors at functionally relevant levels (i.e. approximately 25–35% receptor occupancy; Leiser et al., 2015). Thus all vortioxetine's therapeutic targets may potentially contribute to the net effects observed in the present study.

The rise in serum concentrations of aldosterone observed in TRP-depleted animals is consistent with our previous findings (Franklin et al., 2012a,b, 2015). Both vortioxetine and paroxetine treatments significantly reduced serum aldosterone concentrations in TRP-depleted animals.

Serum corticosterone was increased in TRP-depleted animals as compared to controls in agreement with previous findings (Franklin et al., 2012a, 2015). Further, in the current study, paroxetine treatment reduced corticosterone by around 25% whereas vortioxetine almost reduced it to control levels. In our previous studies paroxetine reduced corticosterone by approximately 30%, however these corticosterone levels were still more than two-fold greater than those found in controls (Franklin et al., 2015), thus suggesting that it may be necessary to near normalize corticosterone levels in order to achieve reversal of depression-like behavior in this model. Interestingly, mirtazapine was found to reduce plasma cortisol in both healthy subjects and patients, possibly through blockade of 5-HT₃ receptors and α₂ adrenoceptors. Vortioxetine itself is a potent 5-HT₃ receptor antagonist (Sanchez et al., 2015). Furthermore, the selective 5-HT₃ receptor antagonist, ondansetron reduced hyperactivity in obese mice by reducing corticosterone (Kurhe and Mahesh, 2015). Hence, it is possible that vortioxetine's 5-HT₃ receptor antagonistic properties may contribute to reducing corticosterone levels in the present study. Further studies would be required to

investigate this hypothesis.

Prefrontal cortex KA content decreased in a similar manner as serum KA levels in TRP depleted animals compared to control animals. Additionally, vortioxetine treatment significantly enhanced TRP-depletion mediated reductions of KA whereas paroxetine treatment did not. Interestingly, TRP depletion increased serum levels of IL-6 and this was subsequently significantly reduced by vortioxetine but not by paroxetine treatment. It has been hypothesized that chronic stress-induced activation of the immune system may lead to increased levels of pro-inflammatory cytokines such as IL-6 and subsequent development of major depressive disorder (Won and Kim, 2016). Pro-inflammatory cytokines provoke activation of the HPA axis to increase the synthesis of corticosteroids (Franklin et al., 2015) and also activate the TRP/K pathway enzymes, TDO and IDO (Leonard, 2017; Savitz, 2017; Ball et al., 2014). This has been suggested to play an important role in the etiology of depression (Christmas et al., 2011; Myint and Kim, 2014). Over activity of these enzymes can lead to an imbalance of downstream pathway metabolites such as KA, which is neuroprotective in nature (Erhardt et al., 2009).

In our studies the K/KA ratio has consistently proved to be a more sensitive measure than the more regularly used K/TRP ratio in the kynurenine pathway as a measure of neurotoxicity flux in terms of downstream effects on glutamate transmission change. Our results (from the present and in previously published and unpublished studies) demonstrate only small changes in serum K concentrations and hence smaller changes in the K/TRP ratio may only truly reflect changes in total TRP. Whereas, KA is very consistently reduced by TRP depletion. Therefore, this may suggest that the enzymes which convert K to KA, i.e. the aminotransferases may be in themselves more susceptible to induction than TDO. This hypothesis requires further investigation. In the present study K/TRP ratio was enhanced in TRP depleted animals and may be indicative of TDO induction. The peripheral K/KA ratio was decreased by vortioxetine treatment to control levels but this was again not the case with paroxetine. Therefore, we suggest that via the outlined inhibition processes on TDO activity, the neuroprotective potential of KA was increased by vortioxetine in this animal model of depression. Whether this was achieved by a direct effect of vortioxetine on IL-6 itself or on TDO is debatable. Since PFC KA content reflect on

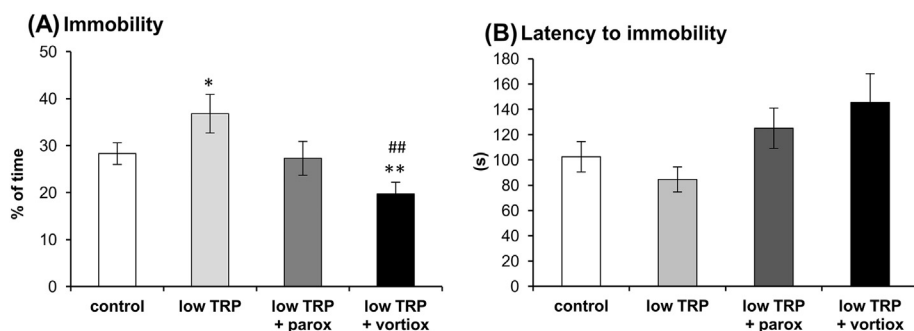


Fig. 4. Effect of vortioxetine and paroxetine on immobility (A) and the latency to immobility (B) in the FST in TRP depleted female rats versus control. Results are expressed as means ± SEM (n = 10). Statistics: **p < 0.01, *p < 0.05 versus control, ##p < 0.01 versus low TRP.

similar changes of those in serum, we assume that such effects may also be true for IDO centrally. However, fluoxetine treatment in patients with depression has been shown to reduce IL-6 (Sluzewska et al., 1995). In addition, non-responders to SSRIs continue to exhibit raised IL-6 levels, suggesting the possibility that response to treatment may be linked to reductions in IL-6 (O'Brien et al., 2007). The mechanism through which vortioxetine exerts its effects on IL-6 levels and the K pathway remain to be elucidated but certainly appears to involve mechanisms that go beyond SERT inhibition.

Further, corticosterone has also been shown to induce the same TDO enzyme in the kynurenine pathway (Young, 1981; Christmas et al., 2011). Corticosterone was reduced by vortioxetine treatment, to near control levels and both the K/TRP and K/KA ratios were also decreased, although in the case of the K/TRP this was not significant. Hence, it is reasonable to hypothesize that reduction of corticosterone by vortioxetine may have decreased hepatic TDO and perhaps also IDO activity in addition to changes via previously discussed IL-6 mediations.

NMDA receptor and $\alpha 7$ -nAChR parameters were measured in different brain regions. This was mainly for technical reasons which were due to the different preparation procedures involved and in some instances due to insufficient available tissue volume. NMDA (B_{max}) receptor binding in the amygdala was significantly upregulated following TRP depletion and reversed to control levels by vortioxetine but not by paroxetine. Observations such as significantly higher levels of the NR_{2A} sub-unit of NMDA receptors in post mortem brains of depressed patients vs. healthy subjects (Karolewicz et al., 2009) are supportive of the construct validity of the TRP depletion model. In addition, in support of the model's predictive validity, there is compelling evidence for antidepressant activity of glutamatergic modulators (Zarate et al. 2010) and evidence that vortioxetine, unlike an SSRI, enhances glutamatergic signaling in depression relevant brain regions such as the prefrontal cortex and hippocampus (Riga et al., 2016). Vortioxetine does not have notable affinity for glutamate receptors (Sanchez et al., 2015), however, it has been shown to attenuate the inhibitory control that GABAergic interneurons exert on glutamate signaling through its potent 5-HT₃ receptor antagonism (Riga et al., 2016). The $\alpha 7$ -nAChR content in the hippocampus was also significantly upregulated following TRP depletion and reversed to control levels by vortioxetine but not by paroxetine. Pharmacological experiments using selective $\alpha 7$ -nAChR agonists and antagonists may suggest that $\alpha 7$ -nAChRs, rather than NMDA receptors, may be the primary target of endogenous KA in the brain on neurotransmitter levels (Elgamal and MacQueen 2008; Andreasen et al., 2009). Interestingly, other drugs active on neuronal $\alpha 7$ -nAChRs including cytosine and varenicline have demonstrated antidepressant-like effects in preclinical studies (Mineur et al. 2007; Rollema et al. 2009). However, a putative therapeutic benefit of $\alpha 7$ -nAChR stimulation in major depressive disorder remains to be studied. Vortioxetine's effect on $\alpha 7$ -nAChR expression is indirect since vortioxetine is devoid of notable affinity for this receptor (Sanchez et al., 2015). The mechanism through which vortioxetine modulates $\alpha 7$ -nAChR expression remains to be elucidated, but may involve its ability to modulate glutamate signaling through inhibition of GABA interneurons.

All taken together, we hypothesize that vortioxetine's reversal of TRP depletion-induced elevation of corticosterone and/or pro-inflammatory cytokines (here IL-6) leads to deactivation of the TRP/K pathways (via TDO and IDO enzymes) and a subsequent increase of KA, and decrease of $\alpha 7$ -nAChR and NMDA receptor numbers, which results in a normalized glutamate transmission and antidepressant-like activity. This hypothesis will need to be substantiated in additional studies. Based on the limited effects of the SSRI paroxetine in the model, we suggest that vortioxetine's actions in the TRP depletion model were likely due to its 5-HT receptor actions rather than SERT inhibition.

Unlike in our previous studies (Franklin et al., 2015; other presently unpublished data), in the present experiment, paroxetine treatment reduced immobility in the FST to control levels, although this was

without reaching statistical significance. We suggest that greater data set variance in the present study than that found in our previous ones may be the cause of this result. Vortioxetine reduced immobility to levels significantly below those found in both control and TRP-depleted animals. The antidepressant effect of vortioxetine is not likely to be associated with increased locomotion, as vortioxetine was previously shown not to have any influence on locomotor activity (Guilloux et al., 2013; Jensen et al., 2014; du Jardin et al., 2016).

5. Study limitations

It is possible that some physiological functions such as pro-estrous hormonal surges (Marvan et al., 1997) could confound the present findings. However, we found no differences in serum estradiol concentrations across experimental groups. Other recent similar studies have also indicated that the estrous cycle neither impacts behavior nor TRP metabolite levels (Eskelund et al., 2016). Finally, another study limitation relates to the fact that not all the peripheral measures were studied in the brain.

6. Conclusions

In conclusion, we have shown that vortioxetine, but not paroxetine, enabled a reversal of TRP depletion-induced changes of depression-like behavior and biomarkers of glutamatergic activity in a female rat model of SSRI-insensitive depression. These preclinical observations support the hypothesis that vortioxetine's antidepressant activity may involve mechanisms beyond SERT inhibition. A more detailed understanding of the mechanisms remains to be elucidated.

Ethical statement

All animal procedures were performed in the morning and were approved by the Animal Health and Animal Welfare Division of State Veterinary and Food Administration of the Slovak Republic and conformed to the NIH Guidelines for Care and Use of Laboratory Animals as for previous studies with vortioxetine.

Acknowledgements

This research was supported by a grant from Lundbeck Research USA and H Lundbeck A/S (Reference no 3159).

References

- Andreasen, J.T., Olsen, G.M., Wiborg, O., Redrobe, J.P., 2009. Antidepressant-like effects of nicotinic acetylcholine receptor antagonists, but not agonists, in the mouse forced swim and mouse tail suspension tests. *J. Psychopharmacol.* 23, 797–804.
- Ball, H.J., Jusof, F.F., Bakmiwewa, S.M., Hunt, N.H., Yuasa, H.J., 2014. Tryptophan-catabolizing enzymes - party of three. *Front. Immunol.* 5, 485.
- Byrleva, E.Y., Brundin, L., 2017. Kynurenine pathway metabolites and suicidality. *Neuropharmacology* 112 (Pt B), 324–330.
- Buttner, M., Jezova, D., Greene, B., Konrad, C., Kircher, T., Murck, H., 2015. Target-based biomarker selection – mineralocorticoid receptor-related biomarkers and treatment outcome in major depression. *J. Psychiatr. Res.* 66–67, 24–37.
- Chi, J.D., Odontiadis, J., Franklin, M., 1999. Simultaneous determination of catecholamines in rat brain tissue by high-performance liquid chromatography. *J. Chromatogr. B Biomed. Sci. Appl.* 731, 361e7.
- Christmas, D.M., Potokar, J., Davies, S.J., 2011. A biological pathway linking inflammation and depression: activation of indoleamine 2,3-dioxygenase. *Neuropsychiatr. Dis. Treat.* 7, 431–439.
- Dantzer, R., Walker, A.K., 2014. Is there a role for glutamate-mediated excitotoxicity in inflammation-induced depression? *J. Neural. Transm. (Vienna)* 121, 925–932.
- Elgamal, S., MacQueen, G., 2008. Galantamine as an adjunctive treatment in major depression. *J. Clin. Psychopharmacol.* 28, 357–359.
- Erhardt, S., Olsson, S., Engberg, G., 2009. Pharmacological manipulation of kynurenic acid: potential in the treatment of psychiatric disorders. *CNS Drugs* 23, 91–101.
- Eskelund, A., Budac, D.P., Sanchez, C., Elfving, B., Wegener, G., 2016. Female flinders sensitive line rats show estrous cycle-independent depression-like behavior and altered tryptophan metabolism. *Neuroscience* 329, 337–348.
- Fekkes, D., van Dalen, A., Edelman, M., Voskuilen, A., 1995. Validation of the determination of amino acids in plasma by high performance liquid chromatography using

- automated pre-column derivatisation with o-phthalaldehyde. *J. Chromatogr.* 669, 177–186.
- Franklin, M., Odontiadi, J., 2003. Effects of treatment with chromium picolinate on peripheral amino acid availability and brain monoamine function in the rat. *Pharmacopsychiatry* 36, 176e80.
- Franklin, M., Campling, G., Clement, E.M., Cowen, P.J., Craven, R.D., 1996. Effect of long-term TRP depletion on plasma TRP and triglycerides, brain TRP, 5-HT and 5-HIAA. *J. Psychopharmacol. Suppl.* 10, A34(135).
- Franklin, M., Bermudez, I., Murck, H., Singewald, N., Gaburro, S., 2012a. Sub-chronic dietary tryptophan depletion - an animal model of depression with improved face and good construct validity. *J. Psychiatr. Res.* 46, 239–247.
- Franklin, M., Bermudez, I., Hlavacova, N., Babic, S., Murck, H., Schmuckermair, C., Singewald, N., Gaburro, S., Jezova, D., 2012b. Aldosterone increases earlier than corticosterone in new animal models of depression: is this an early marker? *J. Psychiatr. Res.* 46, 1394–1397.
- Franklin, M., Hlavacova, N., Babic, S., Pokusa, M., Bermudez, I., Jezova, D., 2015. Aldosterone signals the onset of depressive behaviour in a female rat model of depression along with SSRI treatment resistance. *Neuroendocrinology* 102, 274–287.
- Gaynes, B.M., 2009. Identifying difficult-to-treat depression: differential diagnosis, subtypes and comorbidities. *Clin. Psychiatry* 70, 10–15.
- Guilloux, J.P., Mendez-David, I., Pehrson, A., Guiard, B.P., Repérant, C., Orvoën, S., Gardier, A.M., Hen, R., Ebert, B., Miller, S., Sanchez, C., David, D.J., 2013. Antidepressant and anxiolytic potential of the multimodal antidepressant vortioxetine (Lu AA21004) assessed by behavioural and neurogenesis outcomes in mice. *Neuropharmacology* 73, 147–159.
- Häfner, S., Baumert, J., Emeny, R.T., Lacruz, M.E., Bidlingmaier, M., Reincke, M., Ladwig, K.H., 2013. Hypertension and depressed symptomatology: a cluster related to the activation of the renin-angiotensin-aldosterone system (RAAS). Findings from population based KORA F4 study. *Psychoneuroendocrinology* 38, 2065–2074.
- Hlavacova, N., Wes, P.D., Ondrejčáková, M., Flynn, M.E., Poundstone, P.K., Babic, S., Murck, H., Jezova, D., 2012. Subchronic treatment with aldosterone induces depression-like behaviours and gene expression changes relevant to major depressive disorder. *Int. J. Neuropsychopharmacol.* 15, 247–265.
- Howren, M.B., Lamkin, D.M., Suls, J., 2009. Associations of depression with C-reactive protein, IL-1 and IL-6: a meta-analysis. *Psychosom. Med.* 71, 171–186.
- Jans, L.A., Lieben, C.K., Blokland, A., 2007. Influence of sex and estrous cycle on the effects of acute tryptophan depletion induced by a gelatin-based mixture in adult Wistar rats. *Neuroscience* 147, 304–317.
- du Jardin, K.G., Liebenberg, N., Müller, H.K., Elfving, B., Sanchez, C., Wegener, G., 2016. Differential interaction with the serotonin system by S-ketamine, vortioxetine, and fluoxetine in a genetic rat model of depression. *Psychopharmacology* 233, 2813–2825.
- Jensen, J.B., du Jardin, K.G., Song, D., Budac, D., Smagin, G., Sanchez, C., Pehrson, A.L., 2014. Vortioxetine, but not escitalopram or duloxetine, reverses memory impairment induced by central 5-HT depletion in rats: evidence for direct 5-HT receptor modulation. *Eur. Neuropsychopharmacol.* 24, 148–159.
- Karolewicz, B., Szebeni, K., Gilmore, T., Maciag, D., Stockmeier, C.A., Ordway, G.A., 2009. Elevated levels of NR2A and PSD-95 in the lateral amygdala in depression. *Int. J. Neuropsychopharmacol.* 12, 143–153.
- Kim, I.S., Schmid-Burgk, W., Claus, D., Kornhuber, H.H., 1982. Increased serum glutamate in depressed patients. *Arch. Psychiatr. Nervenkr.* 232, 299–304.
- Kurhe, Y., Mahesh, R., 2015. Ondansetron attenuates co-morbid depression and anxiety associated with obesity by inhibiting the biochemical alterations and improving serotonergic neurotransmission. *Pharmacol. Biochem. Behav.* 136, 107–116.
- Leiser, S.C., Iglesias-Bregna, D., Westrich, L., Pehrson, A.L., Sanchez, C., 2015. Differentiated effects of the multi-modal antidepressant vortioxetine on sleep architecture: Part 2, pharmacological interactions in rodents suggest a role of serotonin-3 receptor antagonism. *J. Psychopharmacol.* 29, 1092–1105.
- Leonard, B.E., 2017. Inflammation and depression: a causal or coincidental link to the pathophysiology? *Acta. Neuropsychiatr.* <http://dx.doi.org/10.1017/neu.2016.69>. (Epub ahead of print).
- Li, Y., Raaby, K.F., Sanchez, C., Gulinello, M., 2013. Serotonergic receptor mechanisms underlying antidepressant-like action in the progesterone withdrawal model of hormonally induced depression in rats. *Behav. Brain Res.* 256, 520–528.
- Marvan, M.L., Santana, S., Chavez, L., Bertran, M., 1997. Inescapable shocks accentuate fluctuations of forced swimming immobility in different phases of the rat estrous cycle. *Arch. Med. Res.* 28, 369–372.
- Mineur, Y.S., Somenzi, O., Picciotto, M.R., 2007. Cytisine, a partial agonist of high-affinity nicotinic acetylcholine receptors, has antidepressant-like properties in male C57BL/6J mice. *Neuropharmacology* 52, 1256–1262.
- Mork, A., Montezinho, L.P., Miller, S., Trippodi-Murphy, C., Plath, N., Li, Y., Gulinello, M., Sanchez, C., 2013. Vortioxetine (Lu AA21004), a novel multimodal antidepressant, enhances memory in rats. *Pharmacol. Biochem. Behav.* 105, 41–50.
- Myint, A.M., Kim, Y.K., 2014. Network beyond IDO in psychiatric disorders: revisiting neurodegeneration hypothesis. *Prog. Neuro-Psychopharmacol. Biol. Psychiatry* 48, 304–313.
- O'Brien, S.M., Scully, P., Fitzgerald, P., Scott, L.V., Dinan, T.G., 2007. Plasma cytokine profiles in depressed patients who fail to respond to selective serotonin reuptake inhibitor therapy. *J. Psychiatr. Res.* 41, 326–331.
- Riga, M.S., Sánchez, C., Celada, P., Artigas, F., 2016. Involvement of 5-HT3 receptors in the action of vortioxetine in rat brain: Focus on glutamatergic and GABAergic neurotransmission. *Neuropharmacology* 108, 73–81.
- Rollema, H., Guanowsky, V., Mineur, Y.S., Shrikhande, A., Coe, J.W., Seymour, P.A., Picciotto, M.R., 2009. Varenicline has antidepressant-like activity in the forced swim test and augments sertraline's effect. *Eur. J. Pharmacol.* 605, 114–116.
- Sanacora, G., Gueorguieva, R., Epperson, C.N., Wu, Y.T., Appel, M., Rothman, D.L., Krystal, J.H., Mason, G.F., 2004. Subtype-specific alterations of c-aminobutyric acid and glutamate in patients with major depression. *Arch. Gen. Psychiatry* 61, 705–713.
- Sanchez, C., Asin, K.E., Artigas, F., 2015. Vortioxetine, a novel antidepressant with multimodal activity: review of preclinical and clinical data. *Pharmacol. Ther.* 145, 43–57.
- Savitz, J., 2017. Role of kynurenine metabolism pathway activation in major depressive disorders. *Curr. Top. Behav. Neurosci.* 31, 249–267.
- Schwarcz, R., Pellicciari, R., 2002. Manipulation of brain kynurenines: glial targets, neuronal effects and clinical opportunities. *J. Pharmacol. Exp. Ther.* 303, 1–10.
- Skolnick, P., Layer, R.T., Popik, P., Nowak, G., Paul, I.A., Trullas, R., 1996. Adaptation of N-methyl-D-aspartate (NMDA) receptors following antidepressant treatment: implications for the pharmacotherapy of depression. *Pharmacopsychiatry* 29, 23–26.
- Sluzewska, A., Rybakowski, J.K., Laciak, M., Mackiewicz, A., Sobieska, M., Wiktorowicz, K., 1995. Interleukin-6 serum levels in depressed patients before and after treatment with fluoxetine. *Ann. N. Y. Acad. Sci.* 762, 474–476.
- Witcher, J.A., Freeman, M.E., 1985. The proestrous surge of prolactin enhances sexual receptivity in the rat. *Biol. Reprod.* 32, 834–839.
- Won, E., Kim, Y.K., 2016. Stress, the autonomic nervous system, and the immune-kynurenine pathway in the etiology of depression. *Curr. Neuropharmacol.* 14, 665–673.
- Yang, J.J., Wang, N., Yang, C., Shi, J.Y., Yu, H.Y., Hashimoto, K., 2015. Serum interleukin-6 is a predictive biomarker for ketamine's antidepressant effect in treatment-resistant patients with major depression. *Biol. Psychiatry* 77, e19–e20.
- Young, S.N., 1981. Mechanism of decline in rat brain 5-hydroxytryptamine after induction of liver tryptophan pyrrolase by hydrocortisone: roles of tryptophan catabolism and kynurenine synthesis. *Br. J. Pharmacol.* 74, 695–700.
- Zarate, C., Machedo-Viera, R., Henter, I., Ibrahim, L., Diazgranados, N., Salvatore, G., 2010. Glutamatergic modulators: the future of treating mood disorders? *Harv. Rev. Psychiatry* 18, 293–303.